

## ANNEX K – EXAMPLE OF PCT THIRD PARTY OBSERVATIONS

### PATENT COOPERATION TREATY

### PCT

### THIRD PARTY OBSERVATION

#### (PCT Administrative Instructions Part 8)

Applicant's or agent's file reference 49856			
International application number PCT/EP2012/058298	International filing date (day/month/year) 04 May 2012 (04/05/2012)		
Applicant TEST APPLICANT CORPORATION (+3)			
Third party observation submitted by Anonymous	Observation submitted on behalf of		
Date of submission(day/month/year) 02 May 2014 (02/05/2014)	Language of observation English		
<b>Basis and contents of observation</b>			
<p>1. The observation is made on the basis of the claims in the international application as filed.</p> <p>2. The observation comprises:</p> <p><u>4 references to documents.</u></p> <p><u>5 uploaded copies of documents.</u></p>			
Citation # 1 (Patent/utility model) (# uploaded documents: 1):			
Country code: GB	Publication number: 2000001	Document kind code: A	
Patent Applicant/Patent Owner: DETROIT TOOL & ENG CO		Title of invention: Tines Assembly	
Link to document:			
Publication Date: 04 Jan 1979 (04/01/1979)	Filing Date:	Priority Date: 13 Jun 1977 (13/06/1977)	
Source of Abstract:	Accession number:	Publication Date of Abstract:	Retrieval Date of Abstract:
Most relevant passages or drawings: Page 3 lines 1-12; Figures 3 and 4		Relevant to Claims: 1, 4-6, 12	
Brief explanation of relevance:			
<p>Figures 3 and 4 show the arrangement of tines required by claims 1, 4 and 5. Furthermore, page 3 lines 1-12 suggest the use of a variety of lightweight mettalic materials; the specific alloy required by claim 6 is well known in the field for a variety of purposes (see citation 3) and it would be obvious to use it in both the drive shaft and the tines.</p> <p>The ranges required by claim 12 would be an inherent property of most alloys in the dimensions shown unless specifically treated to avoid this.</p>			

## Citation # 2(Conference proceedings) (# uploaded documents: 2):

Author: SMITH, James		Conference Title: Cutters World	Conference Date: 20 Nov 2011 (20/11/2011)
Conference Location: Berlin, Germany		Title of article: Varied edge angles for more effective cutting	Place of publication:
Publisher:	Publication Date: 20 Nov 2011 (20/11/2011)	ISBN:	
DOI:			
Most relevant passages or drawings: Page 3 lines 12-16; Slide 16 of presentation		Relevant to Claims: 1-3	
Brief explanation of relevance: The presentation indicated the use of cutting edge angles which vary according to the parameters required by claims 1-3.			

## Citation # 3(Periodical article) (# uploaded documents: 1):

Author: BLANC, André	Title of article: Finishing lightweight alloys for cutting tools	Title of Periodical: Metal Finishing	Publication Date: Apr 2010 (04/2010)
Issue Number of Periodical: Vol 108, Issue 4	Publisher of Periodical:	Place of publication:	
Page range of article within periodical:	ISBN:	ISSN:	
DOI:			
Most relevant passages or drawings: Page 43, lines 12-15		Relevant to Claims: 6	
Brief explanation of relevance: The article shows an example of the use of the alloy required by claim 6 in a similar cutting arrangement.			

## Citation # 4(Book) (# uploaded documents:1):

Title: Cutting Implements	Author: Branko Ivanov	Subtitle:
Place of publication:	Publisher: Oxford University Press	Year of Publication: 1983
Number of edition:	ISBN:	
DOI:		
Most relevant passages or drawings: pages 123-131	Relevant to Claims: 8-13	
Brief explanation of relevance: The book sets out all the underlying principles which affect the selection of the materials referred to in claims 8-13. Those referred to in claims 8, 9 and 11 are specifically suggested on page 126. Those of claims 10, 12 and 13 would be obvious alternatives given the criteria indicated.		

SAMPLE